



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Sinha et al.

Group Art Unit: 2812

Serial No.: 10/028,040

Examiner: Unknown

Confirmation No.: 2997

Docket No.: 150.01140101

Filed: December 21, 2001

Title: METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING SURFACES USING COMPLEXING AGENTS

Assistant Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- An itemized return postcard.  
 A Petition for Extension of Time for \_\_\_ month(s) and a check in the amount of \$\_\_\_ for the required fee.  
 A Supplemental Information Disclosure Statement (2 pgs); a 1449 form (1 pg); 1 translation of a previously submitted reference, as well as 1 copy of the previously submitted reference.  
 A check in the amount of \$\_\_\_, for \_\_\_.  
 A certified copy of a \_\_\_ application, Serial No. \_\_\_, filed \_\_\_\_\_, the right of priority of which is claimed under 35 U.S.C. §119.  
 Other:  
 Amendment:  
 No Additional fee is required. \_\_\_ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$18 =	
Independent Claims				x \$84 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$280 Here →					
Total Additional Claim Fees Required					

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

**CERTIFICATE UNDER 37 C.F.R. §1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202, on this 31 day of July, 2002.

MUETING, RAASCH & GEBHARDT, P.A.  
Customer Number: 26813



26813

PATENT TRADEMARK OFFICE

By *Ann M. Mueting*  
Name: Ann M. Mueting  
Reg. No.: 33,977  
Direct Dial: 612-305-1217  
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*RECEIVED*  
AUG 15 2002  
TC 1700

(LARGE ENTITY TRANSMITTAL UNDER RULE 1.8)



AUG 06 2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Sinha et al. ) Group Art Unit: 2812

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Serial No.: 10/028,040 ) Examiner: Unknown

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Filed: December 21, 2001

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For: METHODS FOR PLANARIZATION OF GROUP VII  
SURFACES USING COMPLEXING AGENTS

TECHNICAL  
INSTITUTE

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## **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Consideration of each of the documents listed on the attached 1449 form is respectfully requested. Pursuant to the provisions of M.P.E.P. § 609, Applicants further request that a copy of the 1449 form, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

It is believed that no fee is due, as the document submitted by this Supplemental Information Disclosure Statement is a translation of a document filed previously in this matter. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 13-4895.

RECEIVED  
AUG 15 2002  
TC 1700

• **Supplemental Information Disclosure Statement**

Page 2 of 2

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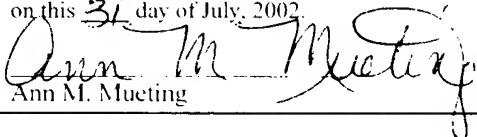
For: METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING SURFACES USING COMPLEXING AGENTS

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The Examiner is invited to contact Applicants' Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

**CERTIFICATE UNDER 37 C.F.R. 1.8:**

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202, on this 31 day of July, 2002.

  
Ann M. Muetting

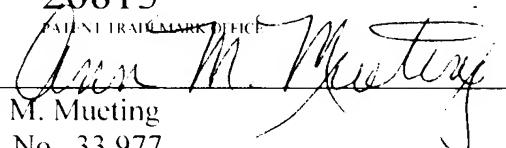
July 31, 2002

Date

Respectfully submitted for  
**Sinha et al.**

By  
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